

The Regulation of PMSCs: Challenges and Good Practices

Colonel Mark Gray MBE MD, MNG Maritime Ltd

N.B. Mark Gray speaks at the invitation of the UNODC Global Maritime Crime Programme, but the views expressed are his own, and do not necessarily reflect the views and/or policy of UNODC.



Master/Team relationship

- *"At all times the Master of a ship has"* the ultimate responsibility for the safety and security of the ship. Even at [the highest levels of] security ... a Master may seek clarification or amendment of instructions issued by those responding to a security incident, or threat thereof, if there are reasons to believe that compliance with any instruction may imperil the safety of the ship." (ISPS Code Part B Para 4.10)
- Team authority extends from the inalienable right to self defence.





Right of self-defence: lethal force

- An individual right;
- Can be applied to protect others;
- Must be proportionate;
- Must be exercised for only as long as the threat to life applies;
- Can only be applied where there is a *genuinely held belief* that life is threatened;
- This belief cannot, generally, be ordered ... or unordered;
- Pre-emptive self-defence is reasonable.

Challenges

- Training the concept of self defence;
- "Better value" guards more used to less sophisticated applications of the use of force;
- Master's authority ... does he have it?
- Proportionality;
- Jurisdiction;
- Nature of cargo & added risks.







Practicalities

- Are the weapons legal?
- Are the guards properly trained to use those weapons?
- Are the weapons functional as intended?
- Do the guards (or Master) fully understand the rules governing the use of force?
- If lethal force is used, what jurisdiction conducts any follow up?

Legal Weapons

- Must be purchased legitimately and physically owned by the PMSC;
- PMSC must have home state authorisation to be a PMSC and import/export firearms;
- PMSC must have flag state authorisation to operate on that ship;
- Must be stored and embarked legitimately by an approved armoury;
- ISO 28007.
- Demand to see: state issued EUC, home state authorisation; flag state authorisation; extant ISO certificate.



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Training of PMSCs

- MSOs/PCASPs must be regularly trained in firearms use, including live firing;
- MSOs/PCASPs must be familiar with the firearms that they will use;
- Demand to see: Maritime Firearms Competence Certificate (for each MSO);
- Demand to watch: each MSO conduct weapon handling drills (no ammo).



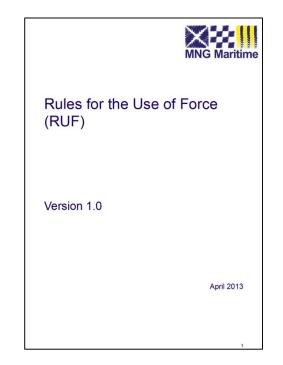


Weapon Functionality

- Weapons should be serviced regularly (annually = NATO standard) by a trained armourer to ensure functionality;
- Servicing should be certificated;
- Demand to see: servicing certificate (<12 months old); armourers' credentials;
- Demand to witness: weapon test firing of all weapons (1 or 2 rounds per weapon)

Use of Force

- TL and MSOs should understand the circumstances under which they might use lethal force;
- PMSC should have written *Rules* for the Use of Force (RuF), which should be shared with Master;
- Insist upon: asking TL/team questions on their RuF and their application; Master should talk through scenarios.



Professionalism

- Does Master have confidence in team?
- Do they have right equipment (e.g. binos, night vision, trauma packs)?
- Manning of watches alertness?
 All round observation?
 Changeovers? "Aircon warriors"?
- MV KSL Sydney incident (Oct 18).



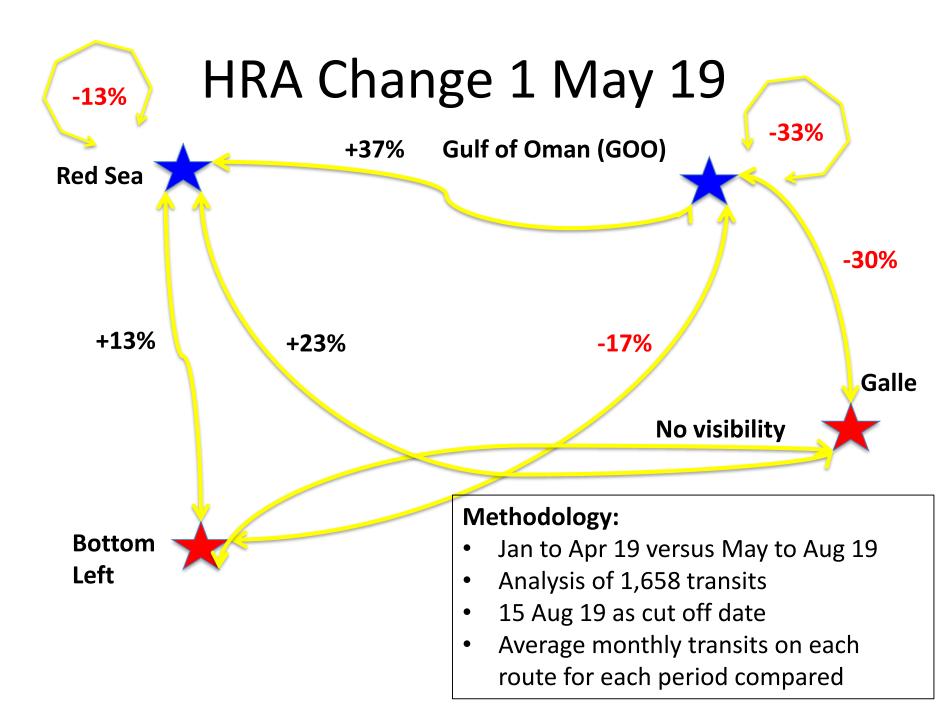


KSL Sydney Oct 18

- Pirate skiff approached from rear;
- Not sighted until 5m from hull and grapple on deck;
- Team reacted quickly enough to "deter" boarding and eliminate threat ... but lucky;
- Key points:
 - pirates approach from rear at closing speed of 12 knots ... 5 mins to cover 1nm; will not appear on radar until last minute, if at all.
 - Pirates still very much operating ... end of monsoon is key period







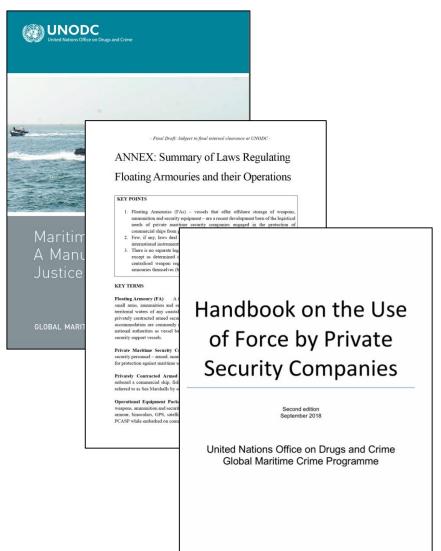
PMSC Observations

- Many PMSCs operating at loss;
- Most VBAs/FAs operating at loss;
- Many MSOs paid less that ITF seafarer minimum wage;
- One generally gets what one pays for ... \$10,000 is the minimum cost to provide a legal, trained, capable, professional, properly paid team, legally embarked with legal, serviced, effective weapons under proper, professional management control with proper (not forged/bribed) ISO certificate – if you are being bid less, ask why!



UNODC GMCP Guides

- Maritime Crime: A Manual for Criminal Justice Practitioners;
- Summary of Laws Regulating Floating Armouries and their Operations;
- Handbook on the Use of Force by Private Security Companies.



Summary

- Shipping companies must exercise DD;
- Masters must conduct further checks;
- If your security guards' salary is less than your children's pocket money ... they are likely to be equally ill-equipped to provide for your security;
- If your chosen PMSC does not own their weapons and have correct authorisations, you, the shipowner, are an unwitting illegal arms trafficker;
- There remains a very real piracy threat ... armed security is a consistently proven defence.